

INFORMATION RELATED TO THE FINANCIAL SERVICES ACT (« FINSA »)

This document aims at providing you with the necessary information within the framework of the Financial Services Act (« FINSA »).

Please note that the information provided is not exhaustive and could be later updated. Indeed, FINSA allows for a transitory period to enable financial intermediaries to develop and adapt their procedures and practices.

GENERAL INFORMATION

Contact details

SELVI & CIE SA
Rue du Grütli 4
1204 Genève
Suisse
Tel: +41 22 318 88 00
www.selvi.ch

Activities

Wealth management
Investment advisory
Securities and currency trading
Custodial and execution services

Legal structure

Company limited by shares, incorporated under the laws of Switzerland, and registered with the COMMERCIAL REGISTER of the Canton of Geneva

Supervisory authority

SWISS FINANCIAL MARKET SUPERVISORY AUTHORITY (FINMA)

Authorisation

SELVI is authorized by FINMA as a Securities Firm, with clients' accounts opened in its books.

MEDIATION BODY

In the event of dissatisfaction with the services provided by our firm, it is recommended that the client contact SELVI who will make every effort to find an amicable solution.

Failing this, the client can apply to the SWISS BANKING OMBUDSMAN after having informed SELVI. This must be undertaken prior to any judicial channel.

It is specified that the OMBUDSMAN is enabled to provide information and examine any complaint, free of charge or for a modest fee. It usually only intervenes if the client has previously addressed its complaint to the concerned establishment and that the latter has taken a stand regarding the complaint.

Contact details mediation body

SWISS BANK OMBUDSMAN
Bahnhofplatz 9
Case postale
8021 Zurich
Suisse

GENERAL RISKS ASSOCIATED WITH FINANCIAL INSTRUMENTS

Upon account opening, SELVI provides its clients with the SWISS BANKERS ASSOCIATION's (SBA) brochure entitled « Risks involved in financial trading instruments ». This brochure can also be found on SELVI's website under « Information - Documentation ».

The client is invited to contact SELVI to obtain any clarification regarding financial instruments in general or with respect to any specific investment.

TYPES OF FINANCIAL SERVICES PROVIDED

SELVI provides various financial services, but more specifically the following:

Discretionary asset management

The client entrusts its assets to SELVI, by way of an asset management mandate aiming at a discretionary management, based on an investment strategy predefined between the client and SELVI.

Within this framework, it is SELVI who takes the investment decisions and executes them.

Advisory

Comprehensive advisory mandate: Through a comprehensive advisory mandate, the client requests that SELVI recommends investments, considering the entirety of the client's portfolio, in accordance with an investment profile predefined between the client and SELVI. Within this framework, SELVI recommends the purchase / sale of one or several financial instruments and it is the client who takes the final decision on investing or selling.

Single transactions advisory mandate: Through an advisory mandate on single transactions, the client requests that SELVI recommends investments on an occasional basis. In such a case, the advice bears only on single investments, without considering the entirety of the client's portfolio. It is the client who takes the final decision on investing or selling.

Execution-only

This type of service does not call for a specific mandate. Within this framework, the client gives one or several investment instructions to SELVI, who executes them.

MARKET OFFER TAKEN INTO ACCOUNT

SELVI proposes only third-party financial instruments. It does not issue its own financial instruments and therefore has no conflict of interests.

A key information document ("KID") is provided to clients in application of FINSA's code of conduct and according to their availability.

The KID contains information on the instrument's characteristics as well as the risks and costs associated and enables to compare various financial instruments.

SELVI does not automatically integrate ESG preferences and risks into its investment advice and portfolio management. Such preferences may nevertheless be taken into account, upon specific clients' requests.

CONFLICT OF INTERESTS

SELVI undertakes the appropriate organizational measures to avoid conflict of interests or to exclude the disadvantages that might occur for the client. If a disadvantage cannot be excluded, SELVI informs the client.

INFORMATION ON COSTS

SELVI informs its clients of the costs related to its services. The client is informed, at the time of account opening, through a fee schedule which is part of SELVI's General Conditions. The fee schedule is available on SELVI's website.

In case of modification thereafter, the client is informed.

Furthermore, additional information on costs relating to a financial instrument may be found in the key information document (KID) or prospectus relating to certain financial products, if this information is available.

Additionally, and in any event, costs relating to operations are indicated in the transaction advices.

BUSINESS AFFILIATIONS WITH THIRD PARTIES

SELVI is not remunerated by third parties.

Should it nevertheless be the case, amounts would be paid directly to the client.

We remain at your disposal for any question you may have regarding the Financial Services Act (FINSA).